### Increasing the Cost of Model Extraction with Calibrated Proof of Work

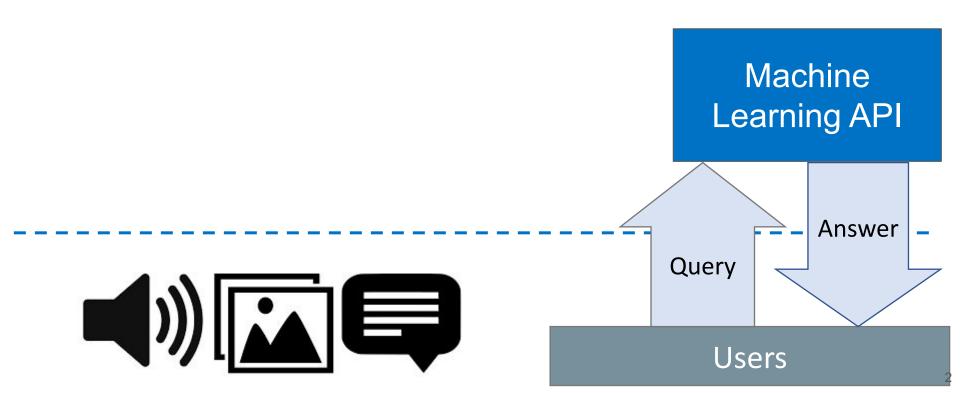
### Ahmad Kaleem, Adam Dziedzic, Lucy Lu, Nicolas Papernot



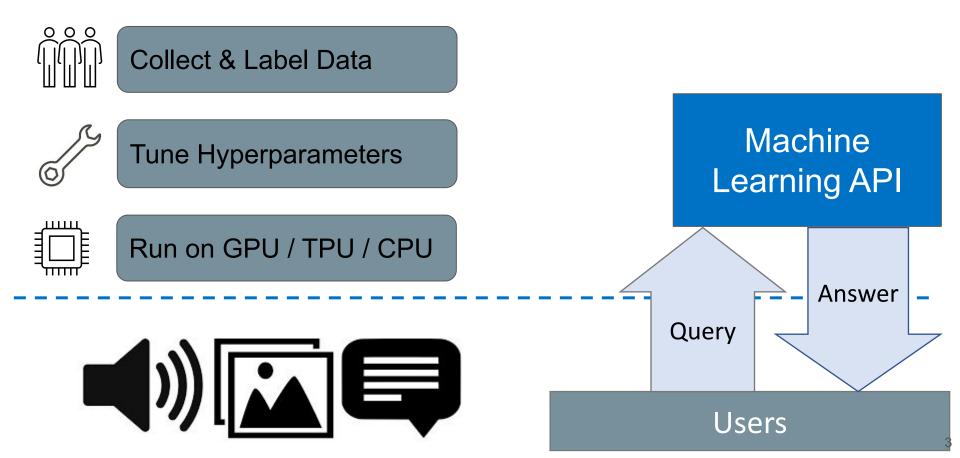




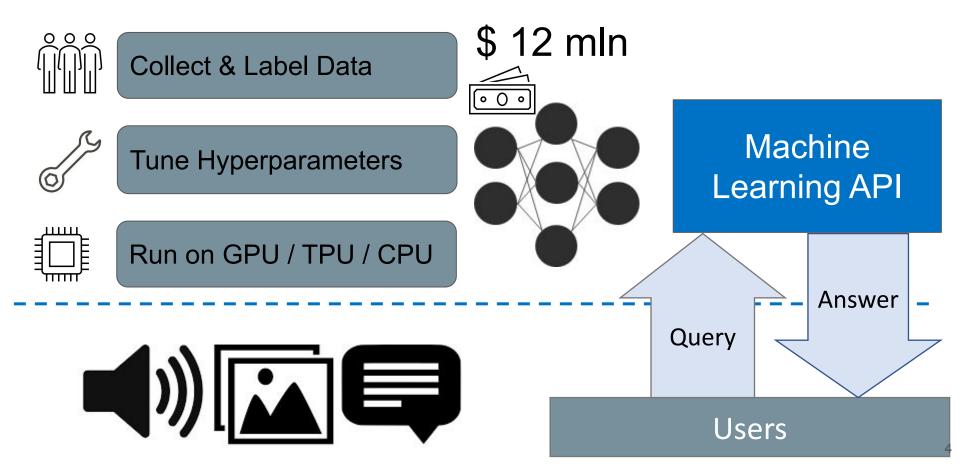
### Annotate data using Machine Learning APIs

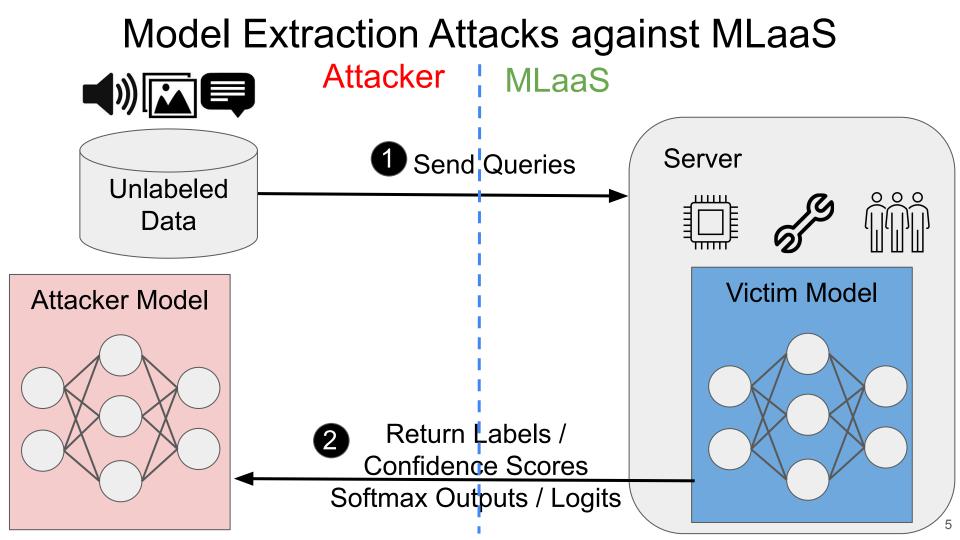


### Train models for Machine Learning Services

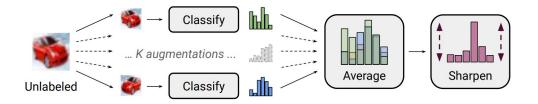


### Train models for Machine Learning Services

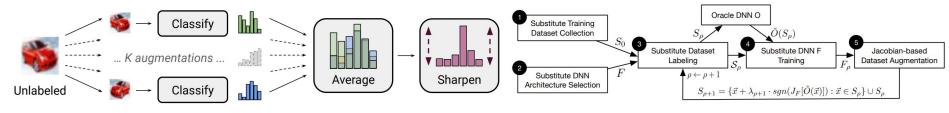




# 1. Current attacks & defenses 2. Our defense method based on proof-of-work 3. Empirical evaluation 4. Conclusions & Future work

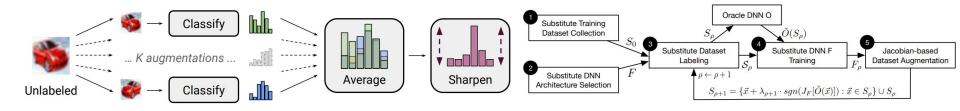


### **MixMatch Extraction**



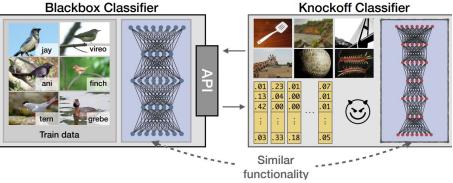
### **MixMatch Extraction**

### Jacobian-based Data Augmentation



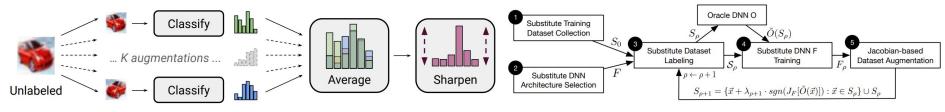
### MixMatch Extraction



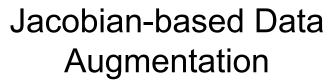


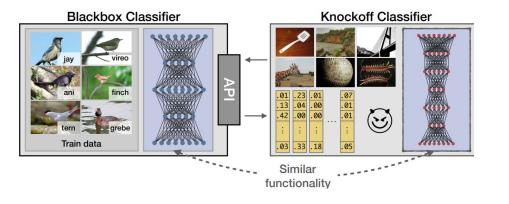
### **Knockoff Nets**

Jacobian-based Data

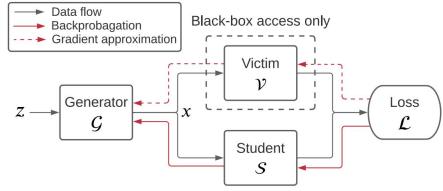


**MixMatch Extraction** 





**Knockoff Nets** 



Data Free Model Extraction

### Comparison between Model Extraction Attacks

Feature / Attack	Upfront Cost	Query Type	# of Queries CIFAR-10	Goal
MixMatch	High	In-distribution	< 8K	Accuracy
Jacobian	Moderate	Limited In-distribution	80K	Fidelity
Knockoff Nets	Low	Natural (not In-distribution)	50K	Accuracy
Data Free	None	Synthetic	20M	Accuracy

### **Active Defenses**

### Perturb outputs

### Detect the attack

- Adaptive Misinformation (Kariyappa & Qureshi 2020)
- Prediction Poisoning (Orekondy et al. 2020)
- PRADA (Juuti et al. 2019)

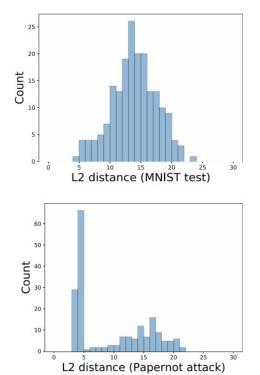
**Reactive Defenses** 

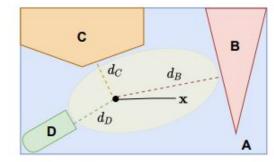
### Verify model training

# Identify if a trained model was stolen

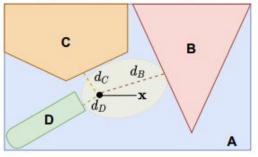
- Watermarking (Jia et al. 2020)
- Dataset Inference (Maini et al. 2021)
- Proof of Learning (Jia et al. 2021)

# Examples of Defenses against Model ExtractionActive: PRADAReactive: Dataset InferenceDetect Distribution ShiftResolve Model Ownership



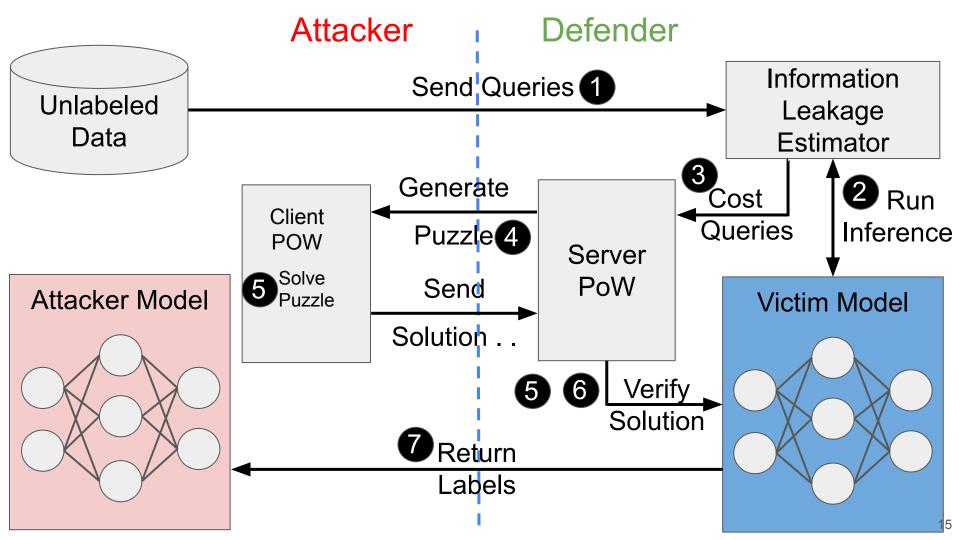


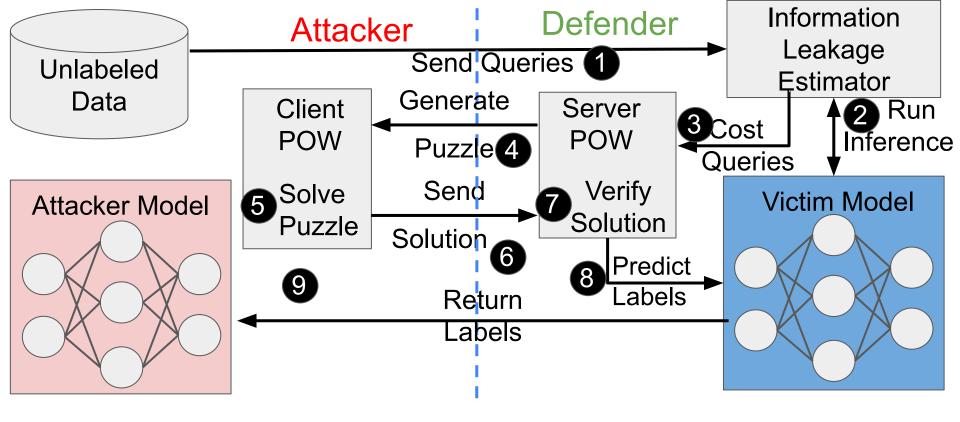
(a) If x is in training set

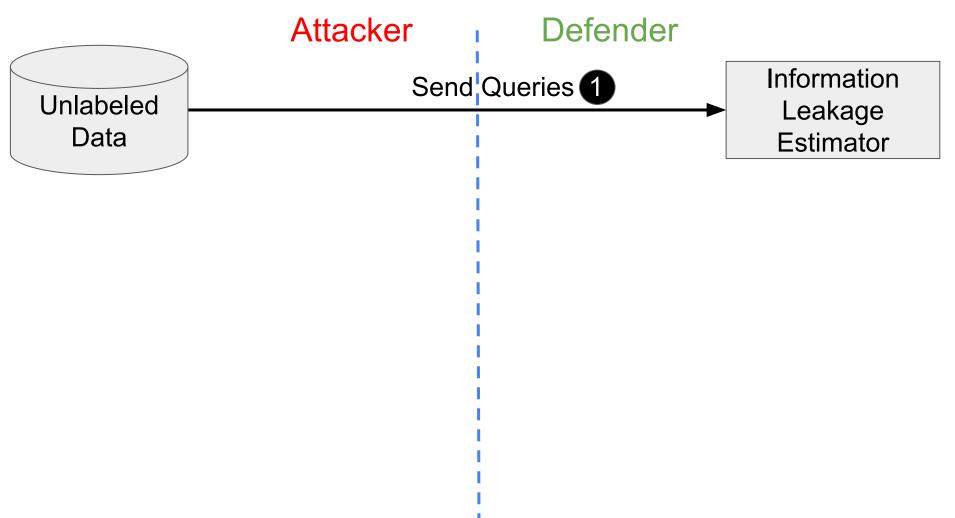


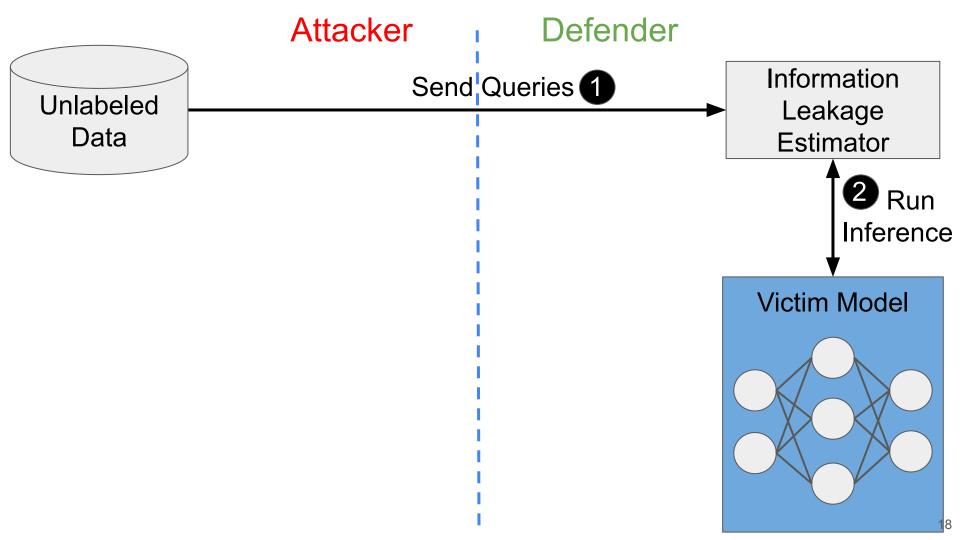
(b) If  $\mathbf{x}$  is not in training set

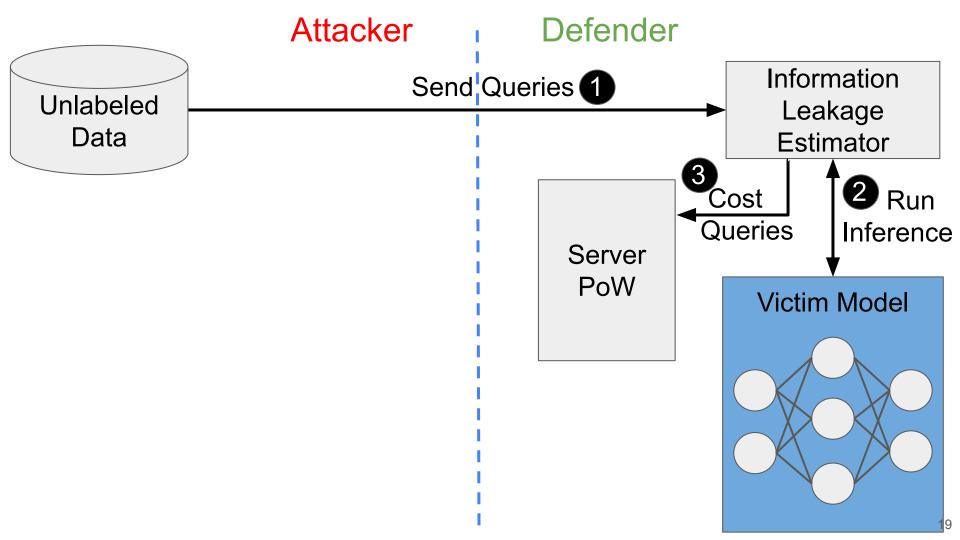
# 2. Our defense method based on proof-of-work 3. Empirical evaluation 4. Conclusions & Future work

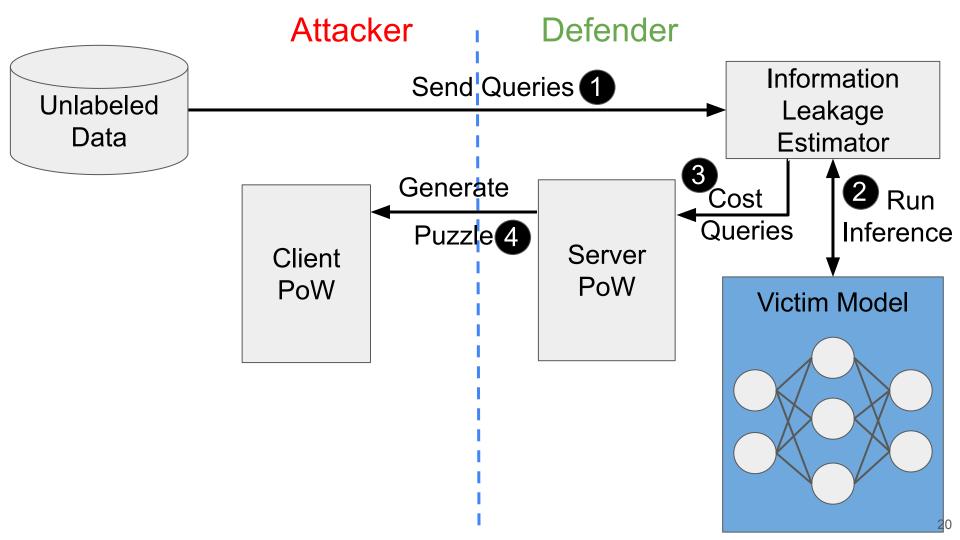


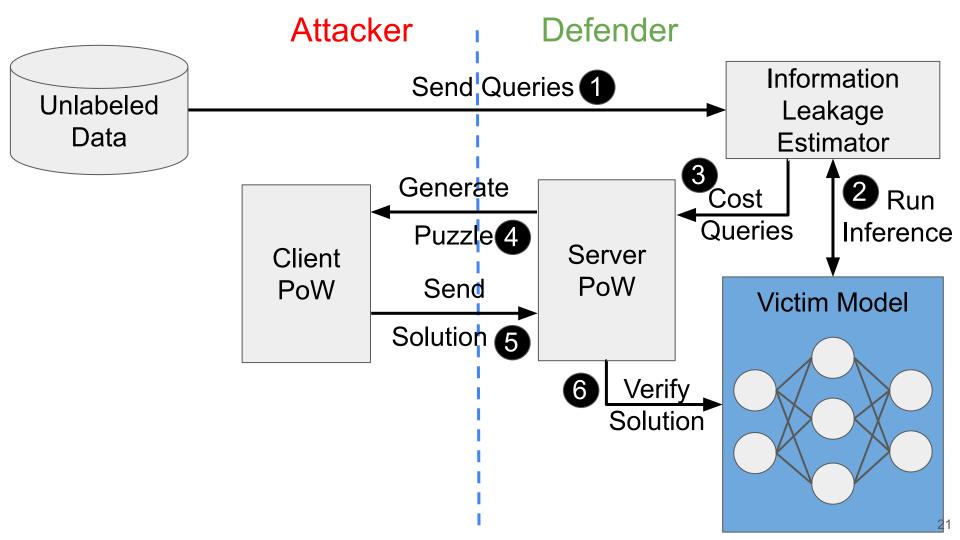


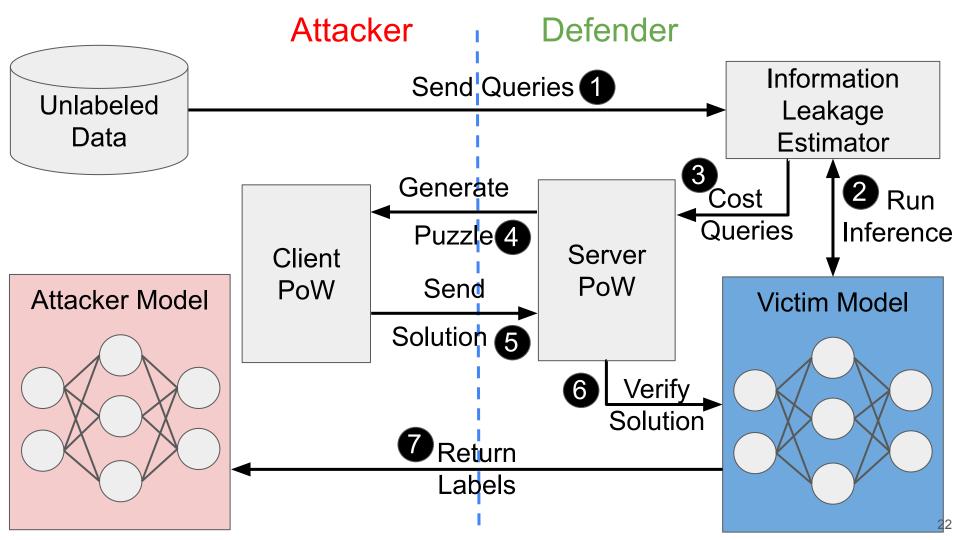


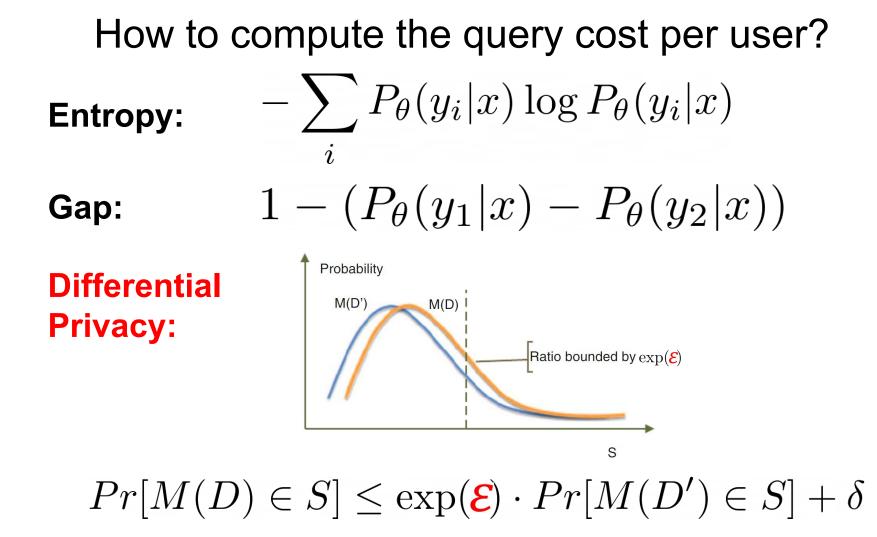




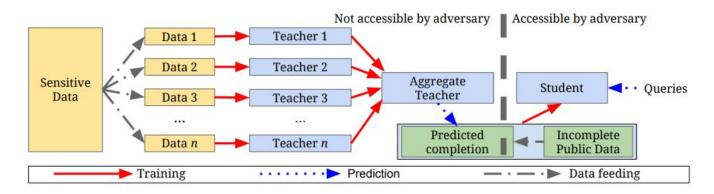




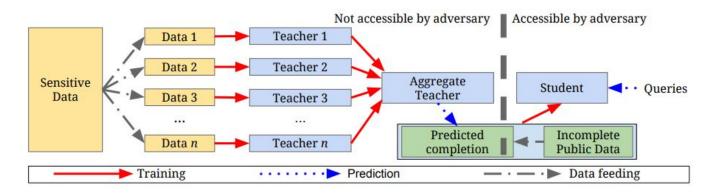


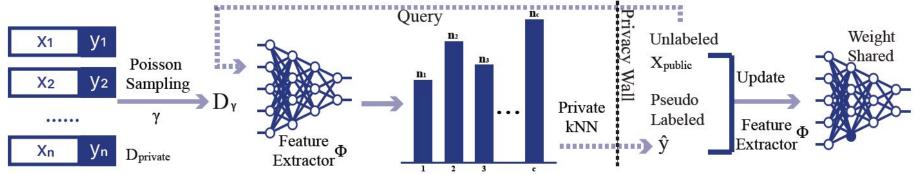


### Compute Privacy: from an **Ensemble of Models** with PATE to a **Single Model** with Private kNN



### Compute Privacy: from an **Ensemble of Models** with PATE to a **Single Model** with Private kNN





# Map from Privacy Cost to Puzzle Difficulty Puzzle Difficulty Privacy Cost

Linear Model - map from the Privacy Cost of a user to Desired Query Time ~2X for legitimate users and then to the Difficulty of the Puzzle (# of leading zero bits in HashCash).

> New Query: Puzzle Difficulty = Model(Privacy cost)

### 1. Current attacks & defenses

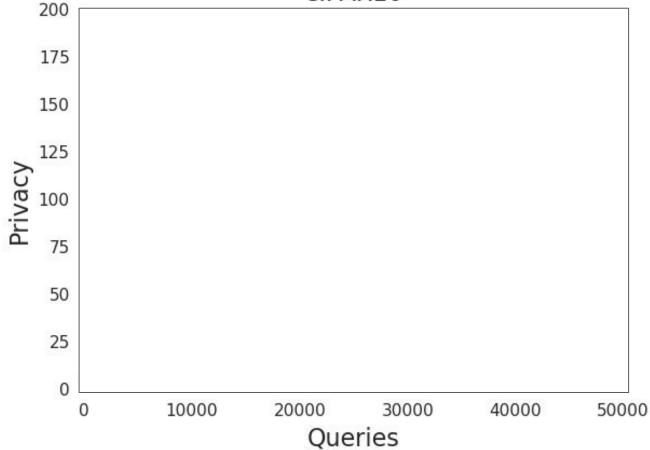
2. Our defense method based on

proof-of-work

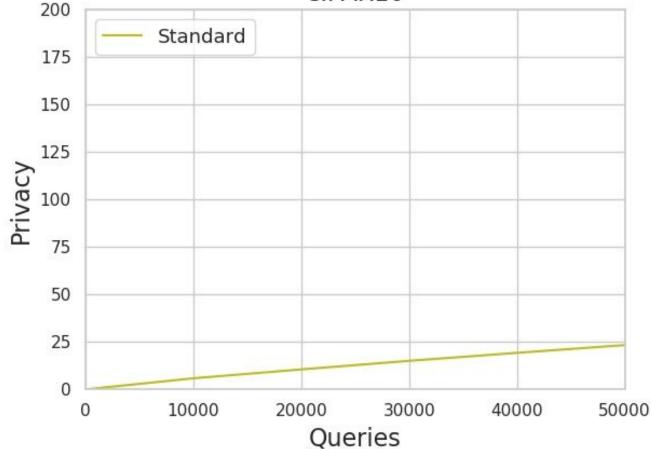
3. Empirical evaluation

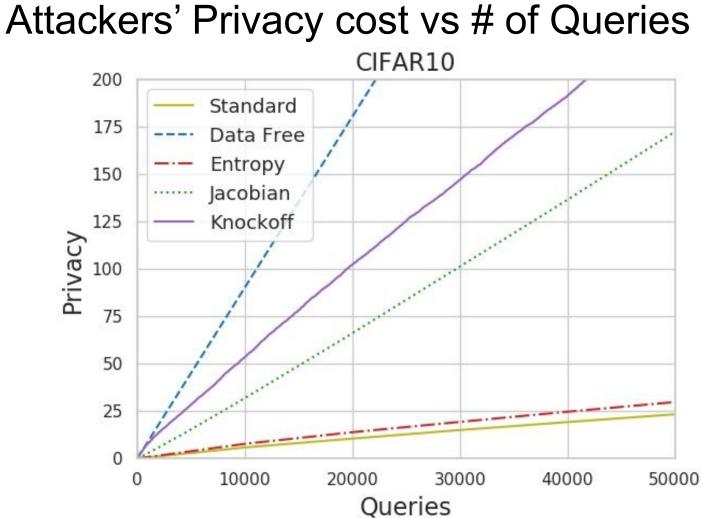
4. Conclusions & Future work

## User's Privacy cost vs # of Queries



### Legitimate user's Privacy cost vs # of Queries CIFAR10

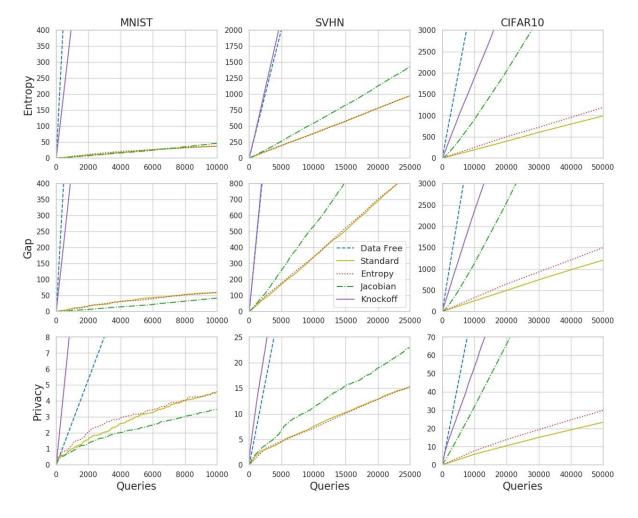




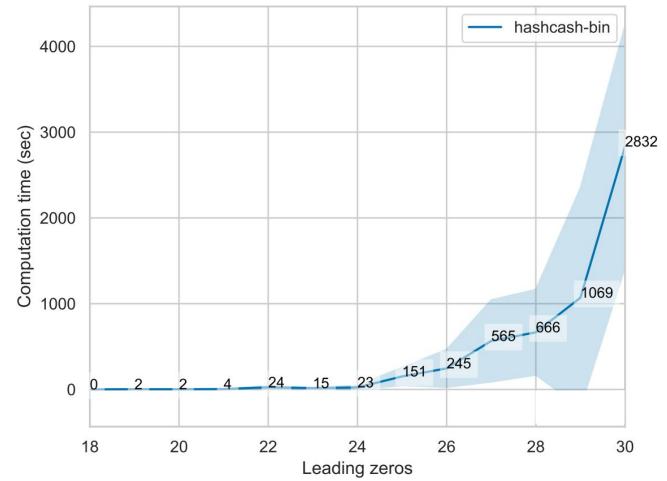
 Privacy cost gives better distinction between legitimate users & attackers.

2. Attacker canestimate Entropy &Gap much easier.

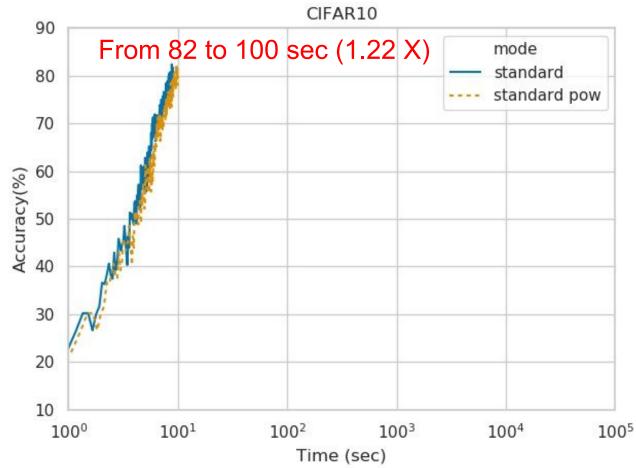
3. Similar performance on: MNIST, Fashion MNIST, SVHN, CIFAR10, ImageNet.



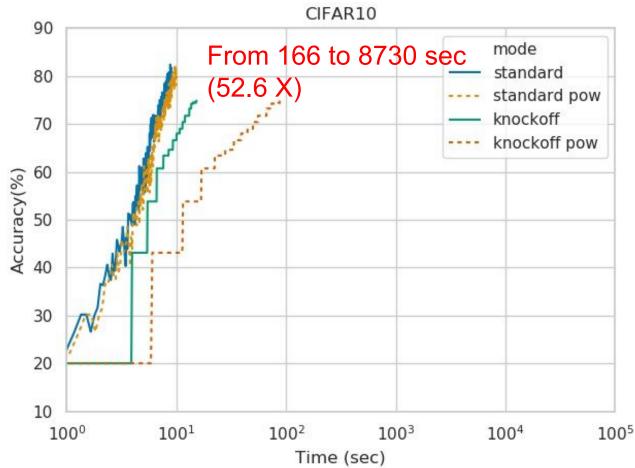
### HashCash cost function for proof-of-work



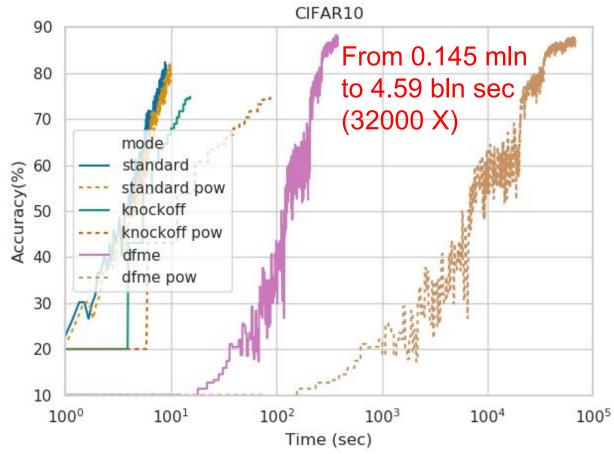
### Increased query time for legitimate users with PoW



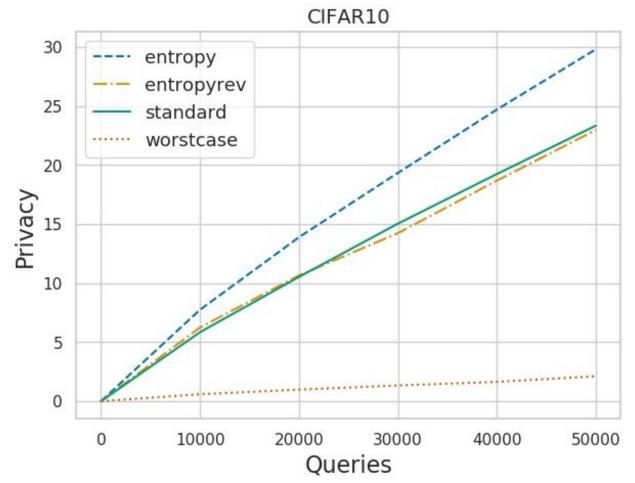
### Increasing query time of Knockoff attack using PoW



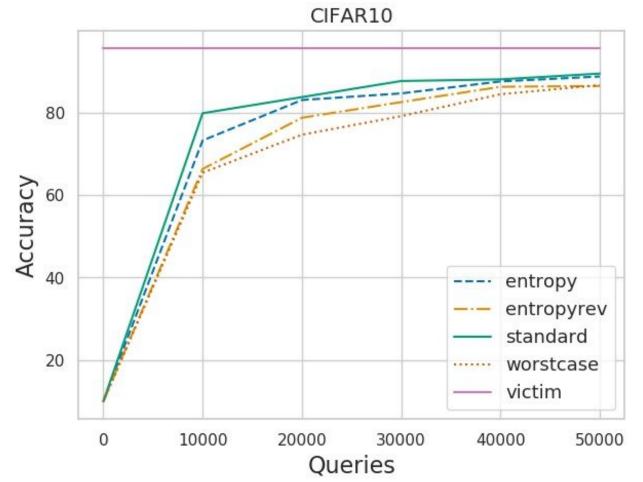
### Increasing query time of Data Free using PoW



### Privacy cost of adaptive attacks against our PoW



### Accuracy of adaptive attacks against our PoW



### 1. Current attacks & defenses

2. Our defense method based on

proof-of-work

3. Empirical evaluation

4. Conclusions & Future work

### Conclusions

- 1. New defense against Model Extraction Attacks prevent adversaries from stealing a model exposed via a public API.
- 2. Use **privacy cost** to measure the amount of information leakage from a set of queries. Store the cost per user.
- 3. **Proof-of-work mechanism** adaptively increases the computation time of querying API based on users' cost with:
  - a. No impact on a model's owner;
  - b. Negligible overhead for legitimate users (~2X);
  - c. High increase in the querying time for many attackers (up to 3 orders of magnitude).

### Future Work, Suggestions & Questions

- 1. Next steps: harness the **state-of-the-art out-of-distribution detection methods** to detect out-of-distribution queries, increase the users' cost and refrain from answering such queries.
- 2. How to determine the **difficulty of the puzzle based on users' privacy cost** in a more **general way** (hardware independent)?
- 3. How to design a cost function that **does not reveal the difficulty of a puzzle** before it is solved?
- 4. What **other attacks** should we test against?
- 5. What **other defenses** should we compare with?
- 6. How to design a **better adaptive attack**?